

DEPARTMENT OF THE TREASURY
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS
APPLICATION FOR TAX-EXEMPT TRANSFER OF FIREARM AND
REGISTRATION TO SPECIAL (OCCUPATIONAL) TAXPAYER National Firearms Act (Title 26, U.S.C. Chapter 53)

1. TRANSFEREE'S NAME AND ADDRESS <i>(Show Trade Name, if any, and give number, street, city, State and ZIP Code, P.O. Box alone is not sufficient.)</i>	To be submitted in duplicate by transferor of firearm TO: National Firearms Act Branch Bureau of Alcohol, Tobacco and Firearms Washington, D.C. 20226
<input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION 2a. TRANSFEROR'S NAME AND MAILING ADDRESS <i>(Show Trade Name, if any)</i>	2b. NUMBER, STREET, CITY, STATE AND ZIP CODE OF LICENSED PREMISES IF DIFFERENT FROM ITEM 2a.
<input type="checkbox"/> SOLE PROPRIETOR <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION	2c. TELEPHONE NO. <i>(Include Area Code)</i>

The above-named and undersigned transferor and special (occupational) taxpayer hereby makes application as required by Section 5812 of the National Firearms Act to transfer, without payment of tax, and register the firearm described below to the special (occupational) taxpayer identified as the transferee in this application.

3. DESCRIPTION OF FIREARM <i>(Complete items a through h, if applicable)</i>			d. MODEL	
a. NAME AND ADDRESS OF MANUFACTURER AND/OR IMPORTER OF FIREARM	b. TYPE OF FIREARM <i>(Shortbarreled rifle, machine gun, destructive device, any other weapon, etc.)</i>	c. CALIBER, GAUGE OR SIZE <i>(Specify)</i>	LENGTH <i>(Inches)</i>	e. OF BARREL: f. OVERALL:
			g. SERIAL NUMBER	
h. ADDITIONAL DESCRIPTION OR DATA APPEARING ON FIREARM <i>(Attach additional sheet if necessary)</i>				

4. TRANSFEREE'S FEDERAL FIREARMS LICENSE <i>(If any)</i> <i>(Give complete 15-digit number)</i>				5. TRANSFEREE'S SPECIAL (OCCUPATIONAL) TAX STATUS	
First 6 digits	2 digits	2 digits	5 digits	a. EMPLOYER IDENTIFICATION NUMBER	b. CLASS
6. TRANSFEROR'S FEDERAL FIREARMS LICENSE <i>(If any)</i> <i>(Give complete 15-digit number)</i>				7. TRANSFEROR'S SPECIAL (OCCUPATIONAL) TAX STATUS	
First 6 digits	2 digits	2 digits	5 digits	a. EMPLOYER IDENTIFICATION NUMBER	b. CLASS

I believe I am entitled to exemption from payment of the transfer tax imposed by Section 5811, National Firearms Act (NFA), on the firearm described above because the transferee named herein is qualified under the NFA to manufacture, import or deal in that type of firearm.

UNDER PENALTIES OF PERJURY, I DECLARE that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete.

8. SIGNATURE OF TRANSFEROR <i>(Or authorized official)</i>	9. NAME AND TITLE OF AUTHORIZED OFFICIAL <i>(Print or type)</i>	10. DATE
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THE SPACE BELOW IS FOR THE USE OF THE BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

BY AUTHORITY OF THE DIRECTOR, THIS APPLICATION HAS BEEN EXAMINED, AND THE TRANSFER AND REGISTRATION OF THE FIREARM DESCRIBED HEREIN AND THE INTERSTATE MOVEMENT OF THAT FIREARM, WHEN APPLICABLE, TO THE TRANSFEREE ARE:

<input type="checkbox"/> APPROVED <i>(with the following conditions, if any)</i>	<input type="checkbox"/> DISAPPROVED <i>(For the following reasons)</i>
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AUTHORIZED ATF OFFICIAL	DATE
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INSTRUCTIONS

1. PREPARATION OF APPLICATION FOR TAX-EXEMPT TRANSFER OF FIREARM AND REGISTRATION TO SPECIAL (OCCUPATIONAL) TAXPAYER:

(a) This form is used only for the tax-exempt transfer for firearms and registration between special (occupational) taxpayers. Section 5852 of the National Firearms Act (Title 26, U.S.C. Chapter 53) provides that a firearm registered by the registrant, without payment of the transfer tax, to any qualified person who has paid special (occupational) tax to import, manufacture or deal in that type of firearm. Every person seeking such transfer shall file and application, in duplicate, on this form. The applicant must furnish all the information called for on the form. Names of transferor and transferee must be shown exactly as they appear on the federal firearms license.

(b) An original signature, in ink, is required on both copies of this form. It is preferred that the form be prepared by use of a typewriter, using carbon paper to make an exact duplicate. Pen and ink may be used, but under no circumstances will a form filled in by use of lead pencil be accepted.

(c) If any questions arise concerning the preparation of the form, please contact the nearest Alcohol, Tobacco and Firearms office, or the NFA Branch.

2. DISPOSITION OF APPLICATION FOR TAX-EXEMPT TRANSFER OF FIREARM AND REGISTRATION TO SPECIAL (OCCUPATIONAL) TAXPAYER:

The transferor will forward the original and one copy of the form to the NFA Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226. If approved, ATF will return the original of the approved form to the transferor for delivery to the transferee with the firearm. ATF will retain the duplicate. **The transferor shall not, under any circumstances, deliver the firearm to the transferee until the approved form is received from the Bureau of Alcohol, Tobacco and Firearms.** This form must be retained by the transferee and be available at all times for inspection by Government officers until such time as the firearm may be later transferred. If the application is disapproved, the original will be returned to the transferor indicating the reason for disapproval.

3. IF THE TRANSFER IS NOT COMPLETED: In such instance, the transferor should mark the front of the form void, sign and date the form, and return the voided form to ATF. This will assure that the firearm will remain registered in the name of the transferor who submitted the application.

4. RECORDKEEPING REQUIREMENTS: Pursuant to Title 18, U.S.C., Chapter 44, the transferor and the transferee, as firearms licensees, shall record their disposition and acquisition of the described firearm as required by subpart H of Title 27 CFR, Part 178.

Paperwork Reduction Act Notice

This form is in accordance with the Paperwork Reduction Act of 1980. The information you provide will be used to apply to transfer firearms tax exempt from on Federal firearms licensee and special (occupational) taxpayer qualified to deal in NFA firearms to another qualified special taxpayer. The data is used to verify lawful transfer and registration of firearms. The information being furnished is mandatory (26 USC 5812).

The estimated average burden associated with this collection of information is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Information Programs Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, D.C. 20226, and the Office of Management and Budget, Paperwork Reduction Project (1512-0026), Washington, D.C. 20503.